



UNITED STATES PATENT AND TRADEMARK OFFICE

2125
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,495	09/27/2001	Michael Raftelis	WRN0013	3735

7590
Garlick & Harrison
P.O. Box 342019
Austin, TX 78734

09/21/2005



EXAMINER

ROBERTS, BRIAN S

ART UNIT	PAPER NUMBER
----------	--------------

2662

DATE MAILED: 09/21/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED

JAN 04 2006

Technology Center 2100

Office Action Summary

Application No.

09/965,495

Applicant(s)

RAFTELIS ET AL.

Examiner

Brian Roberts

Art Unit

2662

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 27 September 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 September 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

1. Claims 1-30 have been examined.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Uematsu et al. (US 6785224) in view of La (US 5412652)

- In reference to claim 1 and 16

Uematsu et al. teaches a ring configuration system and method where a plurality of network elements are connected linearly and perform topology construction for the ring by circulating topology data through each of the respective network elements and collecting connection information through the network elements (abstract). A plurality of network elements in a linear topology can be grouped into a BLSR topology with a working path (first grouping) and a protection path. Topology data frames are transmitted between the network elements to configure the BLSR and a topology table in the network elements stores the network elements IDs and topology data.

Uematsu et al. does not explicitly teach that the network elements have a processing module and memory, or that the topology table contains path identification information for the working and protection path.

La teaches a SONET ring table that contains ring type and ring identification, number of nodes, ring status, node identification, sequence, status, and SONET ring path identification information. (abstract) The ring table stores can store path identification information for both the working and protection path (Figures 9a-c). In Figure 11, La teaches a network element with a CPU (120) (processing module) and memory (121)

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the system and method of Uematsu et al. to include ring tables, a CPU, and memory in the network elements as taught by Lu because the ring tables would facilitate configuration or reconfiguration of the network elements into ring topologies within the SONET environment.

- In reference to claim 2 and 17

In Figures 8 and 9, Uematsu et al. further teaches:

- An operator gives a topology construction command to configure a open-ring topology into a BLSR topology (column 8 lines 46-47)
- Figures 8 and 9 detail the steps to automatically configure a BLSR topology with a working (first and third grouping) and protection path (second grouping) via the nodes transmitting the topology data (column 9 lines 34-36)

- In reference to claim 3 and 18

In Figure 2, Uematsu et al. further teaches:

- Network elements in the working path (first grouping) assign resources via the topology table
- Network elements link to form a working path

- In reference to claim 4 and 19

In Figure 8, Uematsu et al. further teaches the nodes transmit their own node ID (column 8 lines 55-59)

- In reference to claim 5 and 20

- In Figure 8, Uematsu et al. further teaches that Node B receives a topology construction command (processing a communication link alteration request) and transmits topology data TD2 (link command) to node C to configure the open ring topology into a BLSR topology. (column 8 lines 38-59)

- In reference to claim 6, 7, 21, and 22

- In Figure 2, Uematsu et al. further teaches that a plurality of network elements in a linear topology can be grouped into a BLSR topology with a working path (first grouping) and a protection path (second grouping).

- In reference to claim 8, 11-12, 23, and 26-27

Uematsu et al. teaches a ring configuration system and method where a plurality of network elements are connected linearly and perform topology construction for the

Art Unit: 2662

ring by circulating topology data through each of the respective network elements and collecting connection information through the network elements (abstract). A plurality of network elements in a linear topology can be grouped into a BLSR topology with a working path (first grouping) and a protection path (second grouping). Topology data frames are transmitted between the network elements to configure the BLSR and a topology table in the network elements stores the network elements IDs and topology data.

Uematsu et al. does not explicitly teach that the network elements have a processing module and memory, or that the topology table contains path identification information for the working and protection path.

La teaches a SONET ring table that contains ring type and ring identification, number of nodes, ring status, node identification, sequence, status, and SONET ring path identification information. (abstract) The ring table stores can store path identification information for both the working and protection path (Figures 9a-c). In Figure 11, La teaches a network element with a CPU (120) (processing module) and memory (121).

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the system and method of Uematsu et al. to include ring tables, a CPU, and memory in the network elements as taught by Lu because the ring tables would facilitate configuration or reconfiguration of the network elements into ring topologies within the SONET environment.

- In reference to claim 9 and 24

In Figure 8, Uematsu et al. further teaches that the nodes transmit their own node ID (column 8 lines 55-59)

- In reference to claim 10 and 25
- In Figure 8, Node B receives a topology construction command (processing a communication link alteration request) and transmits topology data TD2 (link command) to node C to configure the open ring topology into a BLSR topology.
- In reference to claim 13,15, 28 and 30

In Figures 8 and 9, Uematsu et al. further teaches:

- An operator gives a topology construction command to configure a open-ring topology into a BLSR topology (column 8 lines 46-47)
- Figures 8 and 9 detail the steps to automatically configure a BLSR topology with a working (first grouping) and protection path (second grouping) via the nodes transmitting the topology data

Uematsu et al. does not explicitly teach that the network elements having a processing module and memory or that the topology table contains path identification information for the working and protection path.

La teaches a SONET ring table that contains ring type and ring identification, number of nodes, ring status, node identification, sequence, status, and SONET ring

path identification information. (abstract) The ring table stores can store path identification information for both the working and protection path (Figures 9a-c). In Figure 11, La teaches a network element with a CPU (120) (processing module) and memory (121)

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the system and method of Uematsu et al. to include ring tables, a CPU, and memory in the network elements as taught by Lu because the ring tables would facilitate configuration or reconfiguration of the network elements into ring topologies within the SONET environment.

- In reference to claim 14 and 29

In Figure 8 and 9, Uematsu et al. further teaches the network elements automatically perform topology configuration.

Uematsu et al. does not explicitly teach a network element processing a communication link modification or deletion command.

La teaches reconfiguring a ring topology by adding and deleting network elements in the ring topology that inherently involves a network element processing a link modification and deletion command.

It would have been obvious to a person of ordinary skill in the art at the time of the invention to modify the system and method of Uematsu et al. to include adding or deleting a network element in a ring topology as taught by Lu that inherently includes processing a communication link modification and deletion command because

processing a communication link modification and deletion command allows network element in a ring topology to be automatically added or delete and thus allows configuration or reconfiguration of the ring topology.

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure are:

- Nakazumi (US 5826038) teaches a method of detecting the network configuration of a communication network in which a plurality of nodes are connected in a ring form.
- Usuba et al. (US 6614754) teaches a bi-directional line switched ring network system.
- Saleh et al. (US 2003/0058804) teaches a method of discovering a physical path between nodes and establishing a virtual path.
- Kremer (US 5442620) teaches an apparatus and method for preventing communications circuit misconnections in a bidirectional line-switched ring transmission system.


5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Roberts whose telephone number is (571) 272-3095. The examiner can normally be reached on M-F 8:30-5:30.

Art Unit: 2662

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hassan Kizou can be reached on (571) 272-3088. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

BSR
09/12/2005



HASSAN KIZOU
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600

11-14-01

1046 U.S. PTO
09/065495

2

[illegible][illegible]

09/12/05

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	Raftelis, et al
				Group Art Unit	
Sheet	2	of	2	Examiner Name	
				Attorney Docket Number	WRN0013

Examiner Initials *	Cite No.1	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
BSR	1	"MPLS and Label Switching Networks", by UYLESS BLACK, Prentice Hall Series in Advanced Communications Technologies, published by Prentice Hall PTR, Prentice-Hall, Inc., Upper Saddle River, New Jersey 07458, Copyright 2001	<input type="checkbox"/>
BSR	2	"SONET, Second Edition", by Walter J. Goralski, McGraw-Hill, Copyright 2000	<input type="checkbox"/>
BSR	3	"Maximum Bandwidth", a Serious Guide to High-Speed Networking, by Dan Blacharski, published by Que Corporation, 201 W. 103rd Street, Indianapolis, IN 46290, Copyright 1997	<input type="checkbox"/>
BSR	4	SONET/SDH Technical Summary, SONET SDH Technical Info (http://www.frateg.com/wan/NFO_WAN_007.HTML)	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	Brian Roberts	Date Considered	09/12/05
--------------------	---------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Notice of References Cited	Application/Control No. 09/965,495	Applicant(s)/Patent Under Reexamination RAFTELIS ET AL.	
	Examiner Brian Roberts	Art Unit 2662	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,412,652	05-1995	Lu, Tsu-Kai	370/223
	B	US-6,785,224	08-2004	Uematsu et al.	370/222
	C	US-2003/0058804	03-2003	SALEH et al.	370/254
	D	US-6,614,754	09-2003	Usuba et al.	370/222
	E	US-5,442,620	08-1995	Kremer, Wilhelm	370/224
	F	US-5,826,038	10-1998	Nakazumi, Seiji	709/251
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

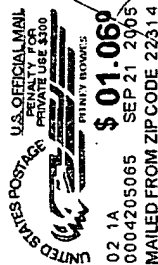
*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

IC 2300 Jeff

Organization Bldg./Room
U. S. DEPARTMENT OF COMMERCE
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450
IF UNDELIVERABLE RETURN IN TEN DAYS
OFFICIAL BUSINESS

AN EQUAL OPPORTUNITY EMPLOYER



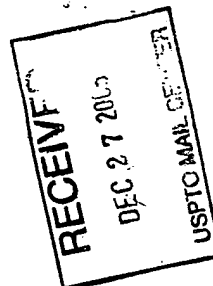
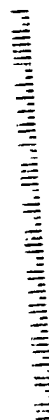
RTS-
note this address.

SEP 22 2005

04 09/29/05

GARL019 787342013 IN
RETURN TO SENDER

NO FORWARD ORDER ON FILE
UNABLE TO FORWARD
RETURN TO SENDER



RECEIVED
JAN 04 2006
Technology Center 2100